FORM PTO-1390

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
(REV. 12-2004)

TRANSMITTAL LET TO THE UNITED STATES

PLICATION NO. (If known, see 3

TRANSMITTAL LET TO THE UNITED STATES
DESIGNATED/ELL TED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

DT02 REPLICATION NO. (If known, see 37 CFR 1.5)
PCT/PTO 1 9 JAN 2005
10 / 5 2 1 8 9 1

INTERNATIONAL APPLICATION NO.
PCT/EP2003/007902

INTERNATIONAL FILING DATE 19 July 2003 (14.07.03)

PRIORITY DATE CLAIMED

19 July 2002 (19.07.02)

TITLE OF INVENTION

#### ISOMALTULOSE-CONTAINING INSTANT BEVERAGE POWDER

APPLICANT(S) FOR DO/EO/US

#### Tillmann DÖRR et al.

Applic	ant herewith submits to the	United States Des	signated/Elected Off	ice (DO/EO/US) th	e following items and	other information:
1 [Y]	This is a FIRST submi	ssion of items co	ncerning a submis	ccion under 35 II	S C 371	

- 2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - o. [] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An Executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [X] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern other document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify):10 sheets of drawings; EFS form; Inventor Designation Sheet; postcard; Front Sheet of the

Publication, English Translation of the Front Sheet of the Publication, English Translation of the IPER and annexes

#### **EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label # EV 343722030US) in an envelope addressed to: Box PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 14, 2005: Name of Person Mailing Correspondence: Dorothy Jenkins

Signature State Jan Jan

Page 1 of 2

U.S. APPLICAT	TION NO. (If kno	wn, see 37 C.F.R	<b>.</b>	INTERNATIONAL PCT/FR20	ATTORNEY'S DOCKET NUMBER P/2107-261					
21. The following	1-9 JAN	2005								
[X] a) Basic nati	\$'300.00	200								
[X] b) Examinati	\$ 200.00									
[X] c) Search fee	\$ 500.00									
	\$ 1,000.00									
[ ] Additional fe sequence listing \$250 for each a	\$ 0.00									
Total Sheets Extra she		ets	Number of each additional fraction thereof (round up to number							
- 100 =	- 100 =		x \$2:		x \$250.00	\$ 0.00				
Surcharge of \$130. earliest claimed pri	\$									
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE	\$				
Total Claims		34 - 20 =		14	x \$ 50.00	\$ 700.00				
Independent Claims		3 - 3 =		0	x \$200.00	\$ 000.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00										
	\$ 1,700.00									
[X] Applicant clain reduced by ½.	\$									
	\$ 1,700.00									
Processing fee of \$ the earliest claimed	\$0.00									
	\$ 1,700.00									
Fee for recording the accompanied by the	\$ 00.00									
				TOTAL FE	ES ENCLOSED =	\$ 1,700.00	· · · · · · · · · · · · · · · · · · ·			
<ul> <li>a. [X] A check (check number (1307)) in the amount \$\frac{1,700.00}{1,700.00}\$ to cover the above fees is enclosed.</li> <li>b. [ ] Please charge my Deposit Account No. 15-0700 in the amount of \$\frac{1}{2}\$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>										
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
SEND ALL CORRESPONDENCE TO: Mark A. Farley  Octobloria, Folian Coch & Seffer, LLD  Mark A. Farley										
Ostrolenk, Faber, Gerb & Soffen, LLP  1180 Avenue of the Americas  New York, New York, 10036, 8403  Telephone: (212) 382-0700							<u>, 2005</u>			
New York, New York 10036-8402   Telephone: (212) 382-0700   Page 2 of 2										

---- D

# **INVENTORS DESIGNATION SHEET**

## TITLE: ISOMALTULOSE-CONTAINING INSTANT BEVERAGE POWDER

This application is a 371 of PCT/EP2003/007902 filed on July 19, 2003:

**INVENTOR #1:** 

Tillman DÖRR

P.O. ADDRESS:

Kirchstrasse 3,

67591 Hohen-Sülzen

Germany

CITIZENSHIP:

Germany

**INVENTOR #2:** 

Lutz GUDERJAHN

P.O. ADDRESS:

Hörlestrasse 35,

67550 Worms

Germany

CITIZENSHIP:

Germany

**INVENTOR #3:** 

Thomas KEME

P.O. ADDRESS:

Bachstrasse 109,

CH-5001 Aarau

Switzerland

CITIZENSHIP:

Switzerland

**INVENTOR #4:** 

Anke SENTKO

P.O. ADDRESS:

Strässlerweg 13,

77830 Bühlertal

Germany

CITIZENSHIP:

Germany

**INVENTOR #5:** 

Jörg KOWALCZYK

P.O. ADDRESS:

Franz-Schubert-Strasse 24,

67304 Eisenberg-Steinborn

Germany

CITIZENSHIP:

Germany

### SEND CORRESPONDENCE TO:

OSTROLENK, FABER, GERB & SOFFEN 1180 Avenue of the Americas New York, New York 10036-8403

Telephone No.: 212-382-0700

Attention: Mark A. Farley

Registration No. 33,170